

O P E
JUL 15 2004
PATENT & TRADEMARK OFFICE

DM-10/2003
Sheet 1 of 2

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number:	Serial Number:
	CXU-406	10/829,660
	Applicant: Rao, et al.	
	Filing Date: April 22, 2004	Group Art Unit: 1742
Confirmation No: 6822		

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]:

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
USSN _____ filed _____, or
USSN _____, filed _____;
- (3) Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (4) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody or controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
	Moy, et al.	6	2	2	1	3	3	0	04/24/2001	5
	Brown, et al.	6	2	9	7	0	6	3	10/02/2001	5
	Li, et al.	6	3	2	5	9	0	9	12/04/2001	5
	Resasco, et al.	6	3	3	3	0	1	6	12/25/2001	5
	Lee, et al.	6	3	5	0	4	8	8	02/26/2002	5
	Gao, et al.	6	3	6	1	8	6	1	03/26/2002	5
	Lai	6	4	5	1	1	7	5	09/17/2002	5
	Chen, et al.	6	4	9	5	2	5	8	12/17/2002	5
	Baughman, et al.	6	5	5	5	9	4	5	04/29/2003	5
	Coll, et al.	6	5	9	6	1	8	7	07/22/2003	5
	Nesper, et al.	6	6	0	5	2	6	6	08/12/2003	5

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER							PUBLICATION DATE	COPY NOTE
	Ting, et al.	0	1	1	8	7	2	7	06/26/2003	5
	Horiuchi, et al.	0	1	7	2	6	3	9	11/21/2002	5
	Geobegan, et al.	0	1	7	9	5	6	4	12/05/2002	5

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

<p>(Rev. 5/92)</p> <p>Information Disclosure Statement List</p> <p>By Applicant(s)</p> <p>Under 37 CFR Section 1.98(a) (1)</p> <p>(Use several sheets if necessary)</p>	Attorney Docket Number:	Serial Number:
	CXU-406	10/829,660
	Applicant: Rao, et al.	
	Filing Date: April 22, 2004	Group Art Unit: 1742
Confirmation No: 6822		

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
	Article - <i>Branching carbon nanotubes deposited in HFCVC system</i> , Bo Gan, J. Ahn, Qing Zhang, S. F. Yoon, Rusli, Q.-F. Huang, H. Yang, M.-B. Yu, and W.-Z. Li, Diamond and Related Materials, Vol. 9, 2000, pp. 897-900	
	Article - <i>Complex branching phenomena in the growth of carbon nanotubes</i> , Dan Zhou and Supapan Seraphin, Chemical Physics Letters, Vol. 238, June 2, 1995, pp. 286-289	
	Article - <i>Controlled Growth of Y-Junction Nanotubes Using Ti-Doped Vapor Catalyst</i> , N. Gothard, C. Daraio, J. Gaillard, R. Zidan, S. Jin, and A. M. Rao, Nano Letters, Vol. 0, No. 0, pp. A-E,	
	Article - <i>Electronic Transport in Y-Junction Carbon Nanotubes</i> , C. Papadopoulos, A. Rakitin, J. Li, A. S. Vedeneev, and J. M. Xu, Physical Review Letters, Vol. 85, No. 16, October 16, 2000, pp. 3476-3479	
	Article - <i>Growing Y-junction carbon nanotubes</i> , Jing Li, Chris Papadopoulos, and Jimmy Xu, Nature, Vol. 402, November 18, 1999, pp. 253-254	
	Article - <i>Straight carbon nanotube Y junctions</i> , W. Z. Li, J. G. Wen, and Z. F. Ren, Applied Physics Letters, Vol. 79, No. 12, September 17, 2001, pp. 1879-1881	
	Article - <i>Synthetic Strategies for Y-junction carbon nanotubes</i> , F. L. Deepak, A. Govindaraj, and C. N. R. Rao, Chemical Physics Letters, Vol. 345, September 7, 2001, pp. 5-10	
	Article - <i>Y-junction carbon nano tubes</i> , B. C. Satishkumar, P. John Thomas, A. Govindaraj, and C. N. R. Rao, Applied Physics Letters, Vol. 77 (No. 16, October 16, 2000, pp. 2530-2532)	
EXAMINER	DATE CONSIDERED <i>4/12/05</i>	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		

SEP 07 2004

DS-10-2003
Sheet 1 of 1

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a)(1) (Use several sheets if necessary)	Attorney Docket Number: CXU-406	Serial Number: 10/829.660
	Applicant: Rao, et al.	
	Filing Date: April 22, 2004	Group Art Unit: 1742
	Confirmation No: 6822	

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 - USSN _____, filed _____, or
 - USSN _____, filed _____;
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE
<i>HR</i>	Li, et al.	0 0 0 4 0 5 8	01/02/2003	5

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any). Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
<i>HR</i>		
EXAMINER	DATE CONSIDERED	<i>10/10/05</i>
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		